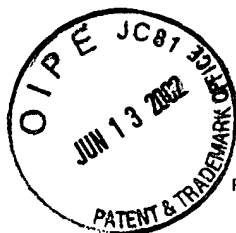


06-14-02

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21EPlease type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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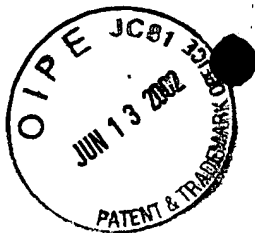
<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/513,999
	<b>Filing Date</b>	February 24, 2000
	<b>First Named Inventor</b>	Dumas Milne Edwards, et al.
	<b>Group Art Unit</b>	1645
	<b>Examiner Name</b>	Unassigned
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b> 59.US2.REG

ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request - 5 Months <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input checked="" type="checkbox"/> CD, Number of CD(s) <small>- 3 containing Sequence Listing</small>	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> Declarations, Powers of Attorney signed by inventors; Statement under 37 CFR 3.73(b); Sequence Submission Statement
Remarks: <div style="border: 1px solid black; height: 40px; width: 100%;"></div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Deno Dialynas, Ph.D.	Reg. No. 50,711
Signature		
Date	June 13, 2002	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on June 13, 2002, postage prepaid and is addressed to Box SEQUENCE; U.S. Patent and Trademark Office, P. O. Box 2327; Arlington, VA 22202, under Express Mail Label No. EV 034562708 US			
Typed or printed name	Deno P. Dialynas		
Signature		Date	June 13, 2002

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: )  
)  
Inventor(s) : Jean-Baptiste Dumas Milne Edwards; )  
Aymeric Duclert; Jean-Yves Giordano )  
) Grp./A.U. : 1645  
Appl. No. : 09/513,999 )  
) Examiner : Unassigned  
Filed : February 24, 2000 )  
)  
Title : EXPRESSED SEQUENCE TAGS AND )  
ENCODED HUMAN PROTEINS )

---

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO THE NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Sir:

In response to the Notice To File Missing Parts Of Nonprovisional Application dated November 15, 2001, enclosed please find the following documents:

	<u>Document</u>		<u>Quantity</u>
1)✓	Copy of the Notice To File Missing Parts Of Nonprovisional Application	2	pages
2)✓	Declaration and Power of Attorney signed by Jean-Baptiste Dumas Milne Edwards; Aymeric Duclert; Jean-Yves Giordano	6	pages
3)✓	Statement under 37 CFR 3.73(b) with photocopy of Assignment documents	7	pages
4)✓	Statement Claiming Small Entity Status	1	page
5)	Our check in the amount of \$65.00 in payment of the surcharge for late filing of the Declaration – Small Entity		
6)✓	Petition for a 5 month extension of time	1	Page
7)	Our check in the amount of \$980.00 – Petition for Extension of Time		

	<u>Document</u>	<u>Quantity</u>
8)✓	Revocation of Power of Attorney and Power of Attorney or Authorization of Agent signed by John Lucas	
9)✓	Assignment signed by Jean-Baptiste Dumas Milne Edwards; Aymeric Duclert; Jean-Yves Giordano; Recordation Cover Sheet	
10)✓	Our check in the amount of \$40.00 in payment of the Assignment recordation fee	
11)✓	Sequence Submission Statement	1 page
12)✓	Substitute Sequence Listing – Copy 1, 2 & 3	3 CD-ROMs
13)	Return Receipt Postcard	

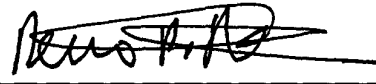
Please charge any additional fees, or credit overpayment to Deposit Account No. 50-1181.

Respectfully submitted,

GENSET CORPORATION

Date: June 13, 2002

By:



Deno Dialynas, Ph.D.

Reg. No. 50,711

***Certificate of Mailing under 37 CFR 1.10***

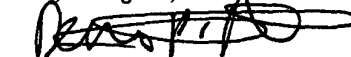
10665 Sorrento Valley Road  
San Diego, CA 92121-1609

Express Mail Label No.: EV 034562708 US

Telephone: (868) 597-2634  
Facsimile: (858) 597-2600  
e-mail: deno.dialynas@genxy.com

Date of Deposit: June 13, 2002

*I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the Date of Deposit shown above, postage prepaid and is addressed to Box: Sequence; U.S. Patent and Trademark Office; P. O. Box 2327; Arlington, VA 22202.*



Signature

June 13, 2002

Typed or Printed Name of Person Signing Certificate



PTO/SB/17 (10-01)  
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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 1,085.00

## Complete If Known

Application Number	09/513,999
Filing Date	February 24, 2000
First Named Inventor	Dumas Milne Edwards
Examiner Name	Unassigned
Group Art Unit	1645
Attorney Docket No.	59.US2.REG

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-1181  
Deposit Account Name: Genset Corp.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ ) 0.00

### 2. EXTRA CLAIM FEES

Total Claims:  - 20 =  X  =   
Independent Claims:  - 3 =  X  =   
Multiple Dependent:  =

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 0.00

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	980.00
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 1,085.00

SUBMITTED BY Express Mail Label No. EV 034562708 US

Name (Print/Type) Deno Dialynas, Ph.D.

Registration No. (Attorney/Agent)

50,711

Complete (if applicable)

Telephone

858/597-2600

Signature

Date

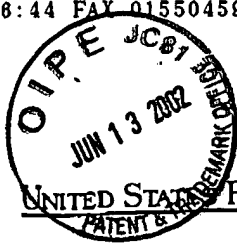
June 13, 2002

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130.00 DP  
915.00 DP

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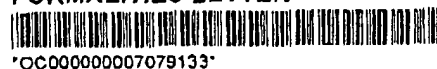


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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/513,999	02/24/2000	Jean-Baptiste Dumas Milne Edwards	GENSET.054A

CONFIRMATION NO. 6082

**FORMALITIES LETTER**



\*OC00000007079133\*

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

Date Mailed: 11/15/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

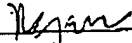
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216.
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

  
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